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Farsight Securities Limited

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Policy created by	Operational staff and Compliance Officer
Policy reviewed by : Compliance Head	Policy reviewed on : 10.04.2026
Approval authority	Chairman – Dr. Naresh Maheshwari
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POLICY FOR STOCK BROKERS LTD. VOLUNTARY FREEZING/BLOCKING OF O ACCOUNTS

Introduction

This policy outlines the framework for facilitating clients' voluntary freezing/blocking of online access to their trading accounts in cases of suspected fraudulent or suspicious activities. This policy adheres to the SEBI Circular no. SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024, NSE Circular no. NSE/INSP/60277 dated January 16, 2024, and BSE Notice No. 20240112-30 dated January 12, 2024.

Objective

The primary objective of this policy is to provide clients with a mechanism to safeguard their trading accounts when they observe suspicious activities. By offering voluntary freezing/blocking of online access, clients can prevent unauthorized transactions and protect their investments.

Scope

Farsight provides the framework for providing the facility of voluntary freezing/ blocking the online access of the trading account to their clients on account of suspicious activities as finalized by the Brokers' Industry Standards.

Farsight provides two modes of the following communications through which the client may request for voluntary freezing/ blocking the online access of trading account if any suspicious activity is observed in the trading account from their Registered Email Id and Registered Mobile No.

The following modes have been provided to the clients to place the request to freeze/block the trading account:

a) Email from registered e-mail ID at : stoptrade@farsightshares.com
b) SMS from registered mobile number at : 9311522003

An e-mail ID and/or telephone number is provided by the Trading Member for this purpose, the above said e-mail ID/ telephone number is dedicated for receipt of communication for voluntary freezing/ blocking of the online access of the clients' trading account.

We take the following actions on the receipt of request through any modes of communications as provided by the Farsight Email Id. and Mobile No. for freezing/blocking of the online access of the trading account from the client: and The timelines for freezing/blocking of the online access of the clients' trading account is as under:

Scenario	**Timelines for issuing acknowledgement as well as freezing / blocking of the online access of the trading account.**
Request received during the trading hours and within 15 minutes before the start of trading	Within 15 minutes
Request received after the trading hours and 15 minutes before the start of trading.	Before the start of next trading session

We validate the request for freezing/blocking of the online access of the trading account is received from the respective client only. from their registered email id and mobile no.

a) verifying whether request is received from the registered phone number/e-mail Id of the client, or where request is received from other than registered phone number/e-mail Id of the client, the Trading Member should have a client authentication procedure (2 Factor Authentication).in place or

b) Following any other process as may be prescribed by the Exchange(s) uniformly in consultation with SEBI, from time to time

In case of failure of the Trading Member in freezing/ blocking the online access within the prescribed Timelines. Trading Member shall be responsible for any trades executed from the time of receipt of such request till such time the online access is blocked/frozen.

Post freezing/blocking the client's trading account send a communication on the registered mobile number and registered e-mail ID of the client, stating that the online access to the trading account has been frozen/blocked and all the pending orders in the client's trading account, if any have been cancelled along with the process of re-enablement for getting the online access to the trading account

<u>Re-enabling the client for online access of the trading account</u>: -
The Trading Member shall re-enable the online access of trading account after carrying out necessary due diligence including validating the client request and unfreezing / unblocking the online access of the trading account.

We maintain the appropriate records/logs including, but not limited to, request received to freeze/block the online access of trading account, confirmation given for freezing/blocking of the online access of the trading account and cancellation of pending orders, if any, sent to the clients. And details of open positions (if any) should also be communicated to the client along with contract expiry information within one hour from the freezing/blocking of the trading account. and This will eliminate the risk of unwanted delivery settlement.

Policy reviewed in Board Meeting held as on 10.04.2026

Policy Approved in Board Meeting held as on 10.04.2026